



PTO/SB/17 (10-01)

**FREE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$120.00)

**Complete If Known**

Application Number	09/967,171
Filing Date	September 27, 2001
First Named Inventor	Fluhr, Jeff
Examiner Name	Not Yet Assigned
Group Art Unit	2161
Attorney Docket No.	2500004.991100

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

07-1896

Deposit  
Account  
Name

Gray Cary Ware &amp; Freidenrich LLP

- ☐ Charge Any additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

- ☒ Check number 497948 in  
the amount of \$120.00

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1) (\$)-0-****2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	=
Independent Claims	-3** =	X	=
Multiple Dependent	X	=	=

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	80	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)-0-**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$120.00)****SUBMITTED BY**

Name (Print/Type)

David L. Alberti

Registration No.  
(Attorney/Agent)

43,465

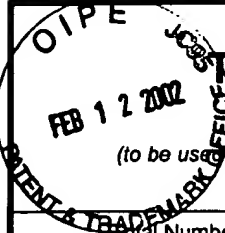
Telephone


650/833-2052

Signature

Date

January 7, 2002

 <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Applicant Number	09/967,171
	Filing Date	September 27, 2001
	First Name and Inventor	Fluhr, Jeff
	Group Art Unit	2161
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	2500004.991100

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Check #497948 - \$120.00; Return Postcard
Remarks 		
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <div style="border: 1px solid black; padding: 5px; text-align: center;"> <b>26379</b>  <small>PATENT, TRADEMARK OFFICE</small>  <small>(Insert Customer No. or Attach bar code label here)</small> </div>		

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: 1/7/2002

By: 

David L. Alberti

Attorney Name

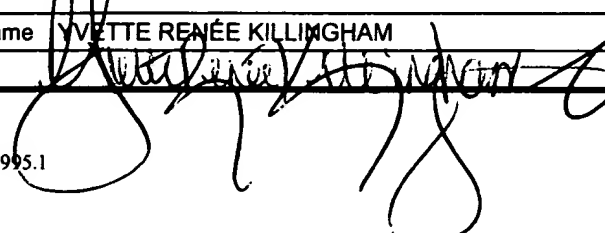
Reg. No. 43,465

Attorneys for Applicant(s)

1755 Embarcadero Road

Palo Alto, California 94303-3340

650/833-2052

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Box Missing Parts, Washington, DC 20231 on this date: <u>January 8, 2002</u>			
Typed or printed name	YVETTE RENÉE KILLINGHAM		
Signature		Date	January <u>8</u> , 2002



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fluhr, Jeff

Serial No.: 09/967,171

Group Art Unit: 2161

Filed: September 27, 2001

Examiner: Not Yet Assigned

Title: **SYSTEM AND METHOD FOR PROVIDING LOGISTICS FOR A SALE OF GOODS**

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Assistant Commissioner for Patents and Trademarks, Box Missing Parts, Washington, D.C. 20231 on January 8, 2002.

  
Yvette Renee Killingham

\* \* \*

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents and Trademarks  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated

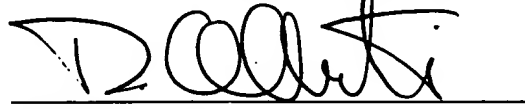
October 31, 2001, transmitted herewith are the following documents:

1. Response to Notice to File Missing Parts of Nonprovisional Application; (2 pgs.);
2. Fee Transmittal for FY 2002 (1 pg.);
3. Transmittal Form (1 pg.);
4. Petition for Extension of Time (2 pgs.);
5. Copy of Notice to File Missing Parts of Nonprovisional Application (1 pg.);
6. Declaration and Power of Attorney-signed (4 pgs.);
7. Check #497948 in the amount of \$120.00; and
8. Return postcard.

The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of fees related to this filing to Deposit Account No.: 07-1896 under 37 CFR 1.16 and 37 CFR 1.17.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP



David L. Alberti  
Reg. No. 43,465  
Attorney for Assignee

Date: Jan. 7, 2002

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Sector



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/967,171	09/27/2001	Jeff Fluhr	2500004-991100

CONFIRMATION NO. 5990

## FORMALITIES LETTER



\*OC00000007001752\*

 David L. Alberti  
 Gary Cary Ware & Freidenrich  
 Attn: Patent Group  
 1755 Embarcadero Road  
 Palo Alto, CA 94303-3340

Date Mailed: 10/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Matthew Levenson, Jeff Lawson*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/15/2002 SDENB01 00000006 09967171

01 FC:205

65.00 GP

A copy of this notice **MUST** be returned with the reply.

SIA

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE